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Patent  
Attorney Docket: 266/067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors: George B. McDonald, Nicholas Stergiopoulos

Serial No.: 09/928,890

Filed: August 13, 2001

For: "METHOD FOR TREATMENT OF  
CANCER BY CONTROLLING GRAFT-  
VERSUS-LEUKEMIA REACTION  
USING TOPICAL ACTIVE  
CORTICOSTEROIDS"

) Group Art Unit: Not yet assigned  
)  
Examiner: Not yet assigned  
)  
)  
)  
)  
)

COPY OF PAPERS  
ORIGINALLY FILED

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

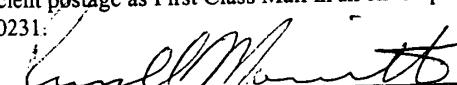
In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER  
37 CFR § 1.53(b), which was mailed by the Patent Office on 11/28/01, enclosed are:

- Declaration
- Power of Attorney:  Separate or  Combined with Declaration
- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(b)

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231:

January 25, 2002  
Date of Deposit

  
Russell Morrisette

Patent  
Attorney Docket: 266/067

**II. REQUEST FOR EXTENSION OF TIME:**

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/>	one month	\$55.00
<input type="checkbox"/>	two months	\$195.00
<input type="checkbox"/>	three months	\$445.00
<input type="checkbox"/>	four months	\$695.00
<input type="checkbox"/>	five months	\$945.00
	Fee	\$0.00

- If any extension fee is required, please consider this a petition therefor.

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**III. FILING FEES**

- Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>					<b>\$0.00</b>
Total Claims	-	20	=	0	x \$18.00      \$0.00
Independent Claims	-	3	=	0	x \$84.00      \$0.00
Multiple Dependent Claims	\$280	(if applicable)		<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)		<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>					<b>\$130.00</b>
Reduction by $\frac{1}{2}$ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/> \$65.00
Extension of Time (from above)					\$0.00
Assignment -- \$40 (if applicable)					<input type="checkbox"/> \$0.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>					<b>\$65.00</b>

**IV. METHOD OF PAYMENT OF FEES:**

- A check in the amount of \$65.00 is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$\_\_\_\_\_.

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- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: January 25, 2002

By: Charles M. Doyle  
Charles M. Doyle, Esq.  
Reg. No. 39,175



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600

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**FEES TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 0 \$65.00

Complete If Known

Application Number	09/928,890
Filing Date	August 13, 2001
First Named Inventor	George B. McDonald
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	266/067

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## METHOD OF PAYMENT (check one)

1. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number	12-2475
Deposit Account Name	Lyon & Lyon
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2.  Payment Enclosed:
 Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Fee	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$0)	

## 2. EXTRA CLAIM FEES

Total Claims	-20**	=	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims	0	-3**	=	0	X	0	= 0
Multiple Dependent				X		= 0	

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES	
Large Entity	Small Entity
Fee Code (\$)	Fee (\$)
105	130
127	50
139	130
147	2,520
112	920*
113	1,840*
115	110
116	390
117	890
118	1,390
128	1,890
119	310
120	310
121	270
138	1,510
140	110
141	1,240
142	1,240
143	440
144	600
122	130
123	130
126	180
581	40
146	710
149	710
179	710
169	900
Other fee (specify) _____	

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (0)

(\$65.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles M. Doyle, Esq.	Registration No. 39,175	
Signature	<i>Charles M. Doyle</i>		
Telephone	(914) 681-8851		
Date	January 25, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,890	08/13/2001	George McDonald	266/067

**CONFIRMATION NO. 8163**

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071



**FORMALITIES LETTER**



"0000000007129904"

Date Mailed: 11/28/2001

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**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is **\$ 65**.

*A copy of this notice **MUST** be returned with the reply.*

(M)

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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